

**PROXY STATEMENT**  
**General Empowerment**

**The undersigned<sup>1</sup> (Proxy name):**

\_\_\_\_\_  
Represented by legal representative (*surname, name, position of the legal representative*)

**Fiscal registration Code (proxy)** \_\_\_\_\_

Residing at/having its registered office at \_\_\_\_\_ Street  
\_\_\_\_\_ no. \_\_\_\_\_,

ID \_\_\_\_\_ series \_\_\_\_\_ no. \_\_\_\_\_ issued by \_\_\_\_\_ on the \_\_\_\_\_,

**I hereby state<sup>2</sup>:**

- I am empowered by \_\_\_\_\_ as shareholder of SIF Moldova SA Bacau, with a General Empowerment (*as defined by Law 297/2004 with its amendments and supplements and the application standards issued by the ASF*) to represent it at the EGSM of SIF Moldova SA on the 13/14.01.2016 and I understand to exercise my mandate in accordance with the legal provisions and procedures of the EGSM of SIF Moldova.
- The general proxy **is holograph signed**, by certification (*as empowered*), by signature, “*as per the original*” on the copies submitted, in confirmation of this, according to the in-force regulations;
- The general empowerment issued to the undersigned **observes the provisions of Law 297/2004** with its amendments and supplements, the in-force regulation of the ASF *and the procedures of SIF Moldova*.

I hereby state that I am aware of the agenda of the general meeting and that I have been informed about all the issues related to the voting method in the EGSM, about the participation to debates and the way in which decisions are made as part of the EGSM on the 13/14.01.2016.

I hereby state that I do not find myself in any of the conflict of interest situations that may arise especially in one of the cases below:

- a) I do not own directly or indirectly 5% of the registered capital of SIF Moldova;
- b) I am not the majority shareholder of an entity controlled by the shareholder that I represent;

<sup>1</sup> CNVM/ASF Regulation 6/2009, Art 17<sup>^</sup>1: “intermediary defined in accordance with art. 2 line (1) pct. 14 of Law no. 297/2004, with its amendments and supplements or lawyer.”

<sup>2</sup> CNVM/ASF Regulation 6/2009, Art 17<sup>^</sup>1: “the general empowerment observes the provisions of the hereby regulation is signed by the shareholder in question and is accompanied by a *self-binding statement provided by the legal representative of the intermediary or the lawyer that received the representation empowerment by general empowerment*, of which the following arise: (i) the empowerment is given by the shareholder in question as customer to the intermediary, or accordingly to the lawyer ; (ii) the general empowerment is signed by the shareholder, inclusively by attachment of extended e-signature, if the case .”

- c) I am not a member of an administration, management or supervision body of SIF Moldova, or of majority shareholder or a controlled entity, as per the provisions under letters a) and b);
- d) I am not an employee or an auditor of the company or of a shareholder or a controlled entity, as per the provisions under letters a) and b);
- e) I am not the husband, relative or in-law up to the fourth degree inclusively of any natural entities specified under letters a)-d).

I am aware that I cannot be substituted by another person.

I understand that this mandate can be exercised through any person that is part of the administrative or management body or by an employee of the company that I manage, based on evidence of that.

Also, I hereby state that:

- I have been appointed as empowered by the shareholders through their freely expressed option, my mandate being specially issued, free and non-passable;

- I am not in tight connections with the shareholders that have empowered me or with any shareholders or with persons from within SIF Moldova SA;

- I am not aware of the existence of any agreement, convention or understanding, expressed or tacit, between the shareholders that have empowered me and/or other shareholders to act in a colluded fashion;

- I do not have the quality of insider or affiliate of SIF Moldova SA or of the shareholders that have appointed me and I am not aware of the existence of such links between the shareholders that have empowered me and that I am representing;

- I am not aware of the existence of an agreement, conventions, understandings etc concerning the relinquishing of the voting right as part of the general shareholder meetings.

I hereby state, that upon the date of the submission/sending of the general empowerment for the EGSM on the 13/14.01.2016 ***I am in possession of the original copy of the general empowerment*** issued by the empowering shareholder and based on it I have certified “*as per the original*” through personal signature the copy submitted/sent to SIF Moldova, as per the Summon, to which I have attached the copy of the ID of the undersigned (*legal representative intermediary defined in accordance with art. 2 line (1) point 14 from Law no. 297/2004, with its amendments or supplements or by lawyer*).

I am presenting the hereby self-binding statement with full knowledge of the special provisions of Law no. 297/2004 with its current amendments, *Law no. 31/1990* with its updates, the provisions of CNVM/ASF Regulations no. 1/2006 and no. 6/2009 with their updates and of the procedures of the EGSM of SIF Moldova.

I am also aware of the mandate validity, ceasing/revoking conditions as per the legal regulations and the procedures of SIF Moldova.

I am freely providing the hereby self-binding statement, after reading and understanding its content.

Date: \_\_\_\_\_

Surname, name in letters<sup>3</sup> (*and the position for legal entities empowered*),

Signature,

Stamp (*if applicable*)

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<sup>3</sup> The signatory will attach the ID copy (CNVM/ASF Regulation 6/2009 Art 17 line (2)).